

A Proofs

Proof of Lemma 7.1. Observe that S^k is monotonic in k . Hence the lemma is equivalent to the following stronger claim: if $A(u)(i)$ is defined, then there exists a k such that $S^n(u)(i)$ is defined and equal to $A(u)(i)$, for all $n \geq k$. The proof is by induction on the program execution steps, i.e., $step(u, i)$, and is divided into a number of cases corresponding to the different types of vertices. In each case, the argument follows the following general outline:

1. If $A(u)(i)$ is defined, then program point u executes at least i times. From the properties observed earlier, $A(u)(i)$ is shown to be some function f_u of the values computed at some other program points at particular instances:

$$A(u)(i) = f_u(A_{u_1}(1 \dots i_1), A_{u_2}(1 \dots i_2), \dots),$$

where $step(u_j, i_j) < step(u, i)$, for all j .

2. From the inductive hypothesis, we assume the existence of a k such that $S^k(u_j)(1 \dots i_j)$ is defined and equal to $A(u_j)(1 \dots i_j)$, for all j .
3. We then look at the definition of $S^{k+1}(u)$, obtained from the set of recursive equations,

$$S^{k+1}(u) = F_u(S^k(v_1), S^k(v_2), \dots),$$

and show that $S^{k+1}(u)(i)$ is defined and equal to $f_u(A_{u_1}(1 \dots i_1), A_{u_2}(1 \dots i_2), \dots)$, completing the proof.

Case 1: Let u be the *Start* vertex or some *Initialize* vertex. This is the base case, and the proof is trivial. Under an appropriate interpretation of these vertices, u executes only once. From the definition, we can easily verify that $S^1(u)(1)$ is defined and equal to $A(u)(1)$.

Case 2: Let u be a *FinalUse* vertex. Let v be its sole reaching definition. Both u and v can execute at most one time, and v must execute before u . The result follows trivially.

Case 3: Let u be a ϕ_T or ϕ_F vertex. Assume, without loss of generality, that u is a ϕ_T vertex. Let v denote $parent(u)$ and w denote $dataPred(u)$. From property 11 in §6, $j = index(A(v), i, true)$ must be defined and

$$step(w, j) < step(v, j) < step(u, i) < step(w, j + 1)$$

and $A(u)(i)$ must be equal to $A(w)(j)$. From the inductive hypothesis, there exists a k such that $S^k(w)(1 \dots j)$ is defined and equal to $A(w)(1 \dots j)$ and $S^k(v)(1 \dots j)$ is defined and equal to $A(v)(1 \dots j)$ (and, in particular, $index(S^k(v), i, true) = j$). By definition,

$$S^{k+1}(u) = select(true, S^k(v), S^k(w))$$

It is a property of *select* that $S^{k+1}(u)(i)$ is defined and equal to $A(u)(i)$.

Case 4: Let u be a ϕ_{if} vertex. Let v be $ifNode(u)$, x be $trueDef(u)$ and y be $falseDef(u)$. Obviously, the *parent* of both x and y is v . As observed in §6, $step(v, i) < step(u, i)$ (property 8), $step(x, j) < step(u, i)$ (property 12),

and $step(y, i - j) < step(u, i)$ (property 12), where $j = \#(A(v), i, true)$ and $i - j = \#(A(v), i, false)$. Furthermore, from property 13,

$$A(u)(i) = \begin{cases} A(x)(j) & \text{if } A(v)(i) \\ A(y)(i - j) & \text{otherwise} \end{cases}$$

From the inductive hypothesis, there exists a k such that $S^k(v)(1 \dots i) = A(v)(1 \dots i)$, $S^k(x)(1 \dots j) = A(x)(1 \dots j)$, and $S^k(y)(1 \dots i - j) = A(y)(1 \dots i - j)$, while from the definition,

$$S^{k+1}(u) = merge(S^k(v), S^k(x), S^k(y))$$

It follows that $S^{k+1}(u)(i)$ is defined and equal to $A(u)(i)$, as required.

Case 5: Let u be a ϕ_{Exit} or ϕ_{while} vertex. As can be seen from the defining equations in these cases, these are similar to ϕ_F and ϕ_T vertices, and the proof is similar, too.

Case 6: Let u be a ϕ_{Enter} vertex. Let v , x , and y be $whileNode(u)$, $outerDef(u)$, and $innerDef(u)$, respectively. Let w be the parent of x and v . Assume, without loss of generality, that the control dependences $w \rightarrow_c v$ and $w \rightarrow_c u$ are labeled *true*. Consider the case $i = 1$ first. We showed in §6 (property 14) that $step(x, 1) < step(u, 1)$, and that $A(u)(1)$, if defined, must be equal to $A(x)(1)$. Consider $i > 1$. Again, we showed that $step(v, i - 1) < step(u, i)$, $step(x, j) < step(u, i)$, and $step(y, i - j) < step(u, i)$, where $j = \#(A(v), i - 1, false) + 1$. Furthermore,

$$A(u)(i) = \begin{cases} A(y)(i - j) & \text{if } A(v)(i - 1) \\ A(x)(j) & \text{otherwise} \end{cases}$$

The hypothesis implies the existence of a k such that $S^k(v)(1 \dots i - 1) = A(v)(1 \dots i - 1)$, $S^k(y)(1 \dots i - j) = A(y)(1 \dots i - j)$, and $S^k(x)(1 \dots j) = A(x)(1 \dots j)$. By definition,

$$S^{k+1}(u) = whileMerge(S^k(v), S^k(y), S^k(x)).$$

The properties of *whileMerge* imply that $S^{k+1}(u)(i)$ is defined and equal to $A(u)(i)$.

Case 7: Let u be a ϕ_{copy} vertex. The proof is similar to the above one, simplified by the fact that there is no definition of $varOf(u)$ inside the loop. Let v denote $whileNode(u)$, and w denote $dataPred(u)$. We showed in §6 (property 15) that $step(v, i - 1) < step(u, i)$, $step(w, j) < step(u, i)$, where $j = \#(A(v), i - 1, false) + 1$, and that $A(u)(i)$ must be equal to $A(w)(j)$. From the hypothesis, there exists a k such that

$$S^k(v)(1 \dots i - 1) = A(v)(1 \dots i - 1)$$

and

$$S^k(w)(1 \dots j) = A(w)(1 \dots j)$$

and by definition

$$S^{k+1}(u) = whileCopy(S^k(v), S^k(w))$$

It follows that $S^{k+1}(u)(i)$ is defined and equal to $A(u)(i)$, as required.

Case 8: Let u be an *assignment* statement, *if* predicate, or *while* predicate, and let u have at least one data-dependence predecessor. Let u_1, u_2, \dots, u_n represent the n data-dependence predecessors of u . We know that $step(u_j, i) < step(u, i)$ for all $j \leq n$ (property 8), and that $A(u)(i)$ must be equal to $functionOf(u)(A(u_1)(i_1), \dots, A(u_n)(i_n))$ (property 9). From the inductive hypothesis, there exists a k such that, for $1 \leq j \leq n$,

$$S^k(u_j)(1 \dots i) = A(u_j)(1 \dots i)$$

By definition,

$$S^{k+1}(u) = map(functionOf(u))(S^k(u_1), \dots, S^k(u_n))$$

It follows that $S^{k+1}(u)(i)$ is defined and equal to $A(u)(i)$.

Case 9: Let u be a constant-valued *assignment* statement or *if* predicate. Let v be u 's parent. Assume, without loss of generality, that the control dependence $v \rightarrow_c u$ is labeled *true*. We know from property 10 of §6 that $j = index(A(v), i, true)$ must be defined and that

$$step(v, j) < step(u, i)$$

Hence, there exists a k such that $S^k(v)(1 \dots j)$ is defined and equal to $A(v)(1 \dots j)$.

By definition,

$$S^{k+1}(u) = replace(true, c, S^k(v))$$

and the required result follows.

Case 10: Let u be a constant-valued *while* predicate. If the constant is *false*, the vertex behaves just like vertices in the previous case. If the constant is *true*, and if u executes at least once, then there must be a k and j such that $S^k(v)(j)$ is defined and the same as $label(v, u)$, where v is u 's parent. From the definition, it can be seen that $S^{k+1}(u)$ is an infinite sequence of *true*s, satisfying the requirement.

We have proved the lemma for each possible value of $typeOf(u)$, and hence the lemma follows.

Proof of Lemma 7.3. The proof is by induction on k . Assume that the program terminates normally and that $S^k(u)(i)$ is defined. We show that $A(u)(i)$ is defined. The equality of $A(u)(i)$ and $S^k(u)(i)$ then follows from the previous lemma and the fact that $S^k(u)$ is monotonic in k .

Now, $A(u)(i)$ is defined iff u executes i times. Thus, it is enough to show that u executes i times, which we do below. (Similarly, the inductive hypothesis may be interpreted as: if $S^{k-1}(v)(j)$ is defined, then $A(v)(j)$ is defined and, hence, v must have executed j times.)

Case 1: Let u be the *Start* vertex or some *Initialize* vertex. The proof is trivial in this case.

Case 2: Let u be a *FinalUse* vertex. Let v denote $dataPred(u)$. By definition, $S^k(u) = S^{k-1}(v)$. Thus, if $S^k(u)(i)$ is defined, then so is $S^{k-1}(v)(i)$. From the inductive hypothesis, program point v must have executed i times (which also

means that i must be 1, but that is immaterial). Since u and v have the same control-dependence predecessors, u must also execute i times (before the program can terminate normally).

Case 3: Let u be a ϕ_T vertex. Let v denote $ifNode(u)$ and w denote $dataPred(u)$. By definition, $S^k(u) = select(true, S^{k-1}(v), S^{k-1}(w))$. Hence, if $S^k(u)(i)$ is defined, then $S^{k-1}(v)$ must contain at least i *true* values. The hypothesis implies that v must have evaluated to *true* at least i times. Hence u must execute for an i^{th} time. The proof is similar for a ϕ_F vertex.

Case 4: Let u be a ϕ_{if} vertex. Let w, x , and y denote $ifNode(u)$, $trueDef(u)$, and $falseDef(u)$, respectively. Then, $S^k(u) = merge(S^{k-1}(w), S^{k-1}(x), S^{k-1}(y))$. If $S^k(u)(i)$ is defined, then $S^{k-1}(w)(i)$ must also be defined. The hypothesis implies that w must have executed i times. Consequently, u must also have executed i times.

Case 5: Let u be a ϕ_{Exit} vertex. Let v and w denote $whileNode(u)$ and $dataPred(u)$, respectively. Then, $S^k(u) = select(false, S^{k-1}(v), S^{k-1}(w))$. If $S^k(u)(i)$ is defined, then $S^{k-1}(v)$ must contain at least i occurrences of *false*. From the inductive hypothesis, the corresponding *while* loop must have completed execution at least i times. Hence u must have executed at least i times.

Case 6: Let u be a ϕ_{while} vertex. The proof is similar to the case of a ϕ_T vertex.

Case 7: Let u be a ϕ_{Enter} vertex. Let v , y , and x denote $whileNode(u)$, $innerDef(u)$, and $outerDef(u)$, respectively. Then, $S^k(u) = whileMerge(S^{k-1}(v), S^{k-1}(y), S^{k-1}(x))$. Consider the case $i = 1$. If $S^k(u)(1)$ is defined, then $S^{k-1}(x)(1)$ must be defined, too. Hence, x must have executed at least once, from the induction hypothesis. Consequently, u must have executed at least once, too. Consider the case $i > 1$. If $S^k(u)(i)$ is defined, $S^{k-1}(v)(1 \dots i - 1)$ must be defined, too. Consequently, v must have executed $i - 1$ times, by the induction hypothesis. Suppose it evaluated to *true* in the $i - 1^{th}$ time, i.e., assume $S^{k-1}(v)(i - 1)$ were *true*. Then u must subsequently execute, for an i^{th} time. On the other hand, let $S^{k-1}(v)(i - 1)$ be *false*. Let $j = \#(S^{k-1}(v), i - 1, false)$. Then, $S^{k-1}(x)(j + 1)$ must be defined. That is, x must have executed at least once after u had executed $i - 1$ times. Hence, u must execute for an i^{th} time, too.

Case 8: Let u be a ϕ_{copy} vertex. The proof is just as in the previous case.

Case 9: Let u be an *assignment*, *if* predicate, or *while* predicate, with n data-dependence predecessors $u_1 \dots u_n$, where $n > 0$. Then, $S^k(u) = map(f)(S^{k-1}(u_1), \dots, S^{k-1}(u_n))$. If $S^k(u)(i)$ is defined, then $S^{k-1}(u_j)(i)$ must be defined, for all j . Thus, u_j must have executed i times. Hence, u must also execute i times, because u and all the u_j have the same control-dependence predecessors.

Case 10: Let u be a constant-valued assignment statement or *if* predicate. Let v be u 's parent. Assume, without loss of generality, that the control dependence $v \rightarrow_c u$ is labeled *true*. Then $S^k(u) = replace(true, functionOf(u), S^{k-1}(v))$. Thus, if $S^k(u)(i)$ is defined, then $S^{k-1}(v)$ must contain at least i occurrences of *true*. Hence, v must have evaluated to *true* at least i times. So, u must execute at least i times.

Case 11: Let u be a constant-valued *while* predicate. If the constant is *false*, the vertex behaves like the vertices in the previous case. Otherwise, if $S^k(u)(i)$ is defined, then its parent v must have evaluated to $label(v, u)$ at least once, which would have caused u to execute. This would have resulted in an infinite loop, contradicting the assumption that the program halts. Hence $S^k(u)$ must be a null sequence, for any k , completing the proof.