

## A Proofs

**Proof of Lemma 7.1.** Observe that  $S^k$  is monotonic in  $k$ . Hence the lemma is equivalent to the following stronger claim: if  $A(u)(i)$  is defined, then there exists a  $k$  such that  $S^n(u)(i)$  is defined and equal to  $A(u)(i)$ , for all  $n \geq k$ . The proof is by induction on the program execution steps, i.e.,  $step(u, i)$ , and is divided into a number of cases corresponding to the different types of vertices. In each case, the argument follows the following general outline:

1. If  $A(u)(i)$  is defined, then program point  $u$  executes at least  $i$  times. From the properties observed earlier,  $A(u)(i)$  is shown to be some function  $f_u$  of the values computed at some other program points at particular instances:

$$A(u)(i) = f_u(A_{u_1}(1 \dots i_1), A_{u_2}(1 \dots i_2), \dots),$$

where  $step(u_j, i_j) < step(u, i)$ , for all  $j$ .

2. From the inductive hypothesis, we assume the existence of a  $k$  such that  $S^k(u_j)(1 \dots i_j)$  is defined and equal to  $A(u_j)(1 \dots i_j)$ , for all  $j$ .
3. We then look at the definition of  $S^{k+1}(u)$ , obtained from the set of recursive equations,

$$S^{k+1}(u) = F_u(S^k(v_1), S^k(v_2), \dots),$$

and show that  $S^{k+1}(u)(i)$  is defined and equal to  $f_u(A_{u_1}(1 \dots i_1), A_{u_2}(1 \dots i_2), \dots)$ , completing the proof.

Case 1: Let  $u$  be the *Start* vertex or some *Initialize* vertex. This is the base case, and the proof is trivial. Under an appropriate interpretation of these vertices,  $u$  executes only once. From the definition, we can easily verify that  $S^1(u)(1)$  is defined and equal to  $A(u)(1)$ .

Case 2: Let  $u$  be a *FinalUse* vertex. Let  $v$  be its sole reaching definition. Both  $u$  and  $v$  can execute at most one time, and  $v$  must execute before  $u$ . The result follows trivially.

Case 3: Let  $u$  be a  $\phi_T$  or  $\phi_F$  vertex. Assume, without loss of generality, that  $u$  is a  $\phi_T$  vertex. Let  $v$  denote  $parent(u)$  and  $w$  denote  $dataPred(u)$ . From property 11 in §6,  $j = index(A(v), i, true)$  must be defined and

$$step(w, j) < step(v, j) < step(u, i) < step(w, j + 1)$$

and  $A(u)(i)$  must be equal to  $A(w)(j)$ . From the inductive hypothesis, there exists a  $k$  such that  $S^k(w)(1 \dots j)$  is defined and equal to  $A(w)(1 \dots j)$  and  $S^k(v)(1 \dots j)$  is defined and equal to  $A(v)(1 \dots j)$  (and, in particular,  $index(S^k(v), i, true) = j$ ). By definition,

$$S^{k+1}(u) = select(true, S^k(v), S^k(w))$$

It is a property of *select* that  $S^{k+1}(u)(i)$  is defined and equal to  $A(u)(i)$ .

Case 4: Let  $u$  be a  $\phi_{if}$  vertex. Let  $v$  be  $ifNode(u)$ ,  $x$  be  $trueDef(u)$  and  $y$  be  $falseDef(u)$ . Obviously, the *parent* of both  $x$  and  $y$  is  $v$ . As observed in §6,  $step(v, i) < step(u, i)$  (property 8),  $step(x, j) < step(u, i)$  (property 12),

and  $step(y, i - j) < step(u, i)$  (property 12), where  $j = \#(A(v), i, true)$  and  $i - j = \#(A(v), i, false)$ . Furthermore, from property 13,

$$A(u)(i) = \begin{cases} A(x)(j) & \text{if } A(v)(i) \\ A(y)(i - j) & \text{otherwise} \end{cases}$$

From the inductive hypothesis, there exists a  $k$  such that  $S^k(v)(1 \dots i) = A(v)(1 \dots i)$ ,  $S^k(x)(1 \dots j) = A(x)(1 \dots j)$ , and  $S^k(y)(1 \dots i - j) = A(y)(1 \dots i - j)$ , while from the definition,

$$S^{k+1}(u) = merge(S^k(v), S^k(x), S^k(y))$$

It follows that  $S^{k+1}(u)(i)$  is defined and equal to  $A(u)(i)$ , as required.

Case 5: Let  $u$  be a  $\phi_{Exit}$  or  $\phi_{while}$  vertex. As can be seen from the defining equations in these cases, these are similar to  $\phi_F$  and  $\phi_T$  vertices, and the proof is similar, too.

Case 6: Let  $u$  be a  $\phi_{Enter}$  vertex. Let  $v$ ,  $x$ , and  $y$  be  $whileNode(u)$ ,  $outerDef(u)$ , and  $innerDef(u)$ , respectively. Let  $w$  be the parent of  $x$  and  $v$ . Assume, without loss of generality, that the control dependences  $w \rightarrow_c v$  and  $w \rightarrow_c u$  are labeled *true*. Consider the case  $i = 1$  first. We showed in §6 (property 14) that  $step(x, 1) < step(u, 1)$ , and that  $A(u)(1)$ , if defined, must be equal to  $A(x)(1)$ . Consider  $i > 1$ . Again, we showed that  $step(v, i - 1) < step(u, i)$ ,  $step(x, j) < step(u, i)$ , and  $step(y, i - j) < step(u, i)$ , where  $j = \#(A(v), i - 1, false) + 1$ . Furthermore,

$$A(u)(i) = \begin{cases} A(y)(i - j) & \text{if } A(v)(i - 1) \\ A(x)(j) & \text{otherwise} \end{cases}$$

The hypothesis implies the existence of a  $k$  such that  $S^k(v)(1 \dots i - 1) = A(v)(1 \dots i - 1)$ ,  $S^k(y)(1 \dots i - j) = A(y)(1 \dots i - j)$ , and  $S^k(x)(1 \dots j) = A(x)(1 \dots j)$ . By definition,

$$S^{k+1}(u) = whileMerge(S^k(v), S^k(y), S^k(x)).$$

The properties of *whileMerge* imply that  $S^{k+1}(u)(i)$  is defined and equal to  $A(u)(i)$ .

Case 7: Let  $u$  be a  $\phi_{copy}$  vertex. The proof is similar to the above one, simplified by the fact that there is no definition of  $varOf(u)$  inside the loop. Let  $v$  denote  $whileNode(u)$ , and  $w$  denote  $dataPred(u)$ . We showed in §6 (property 15) that  $step(v, i - 1) < step(u, i)$ ,  $step(w, j) < step(u, i)$ , where  $j = \#(A(v), i - 1, false) + 1$ , and that  $A(u)(i)$  must be equal to  $A(w)(j)$ . From the hypothesis, there exists a  $k$  such that

$$S^k(v)(1 \dots i - 1) = A(v)(1 \dots i - 1)$$

and

$$S^k(w)(1 \dots j) = A(w)(1 \dots j)$$

and by definition

$$S^{k+1}(u) = whileCopy(S^k(v), S^k(w))$$

It follows that  $S^{k+1}(u)(i)$  is defined and equal to  $A(u)(i)$ , as required.

Case 8: Let  $u$  be an *assignment* statement, *if* predicate, or *while* predicate, and let  $u$  have at least one data-dependence predecessor. Let  $u_1, u_2, \dots, u_n$  represent the  $n$  data-dependence predecessors of  $u$ . We know that  $step(u_j, i) < step(u, i)$  for all  $j \leq n$  (property 8), and that  $A(u)(i)$  must be equal to  $functionOf(u)(A(u_1)(i_1), \dots, A(u_n)(i_n))$  (property 9). From the inductive hypothesis, there exists a  $k$  such that, for  $1 \leq j \leq n$ ,

$$S^k(u_j)(1 \dots i) = A(u_j)(1 \dots i)$$

By definition,

$$S^{k+1}(u) = map(functionOf(u))(S^k(u_1), \dots, S^k(u_n))$$

It follows that  $S^{k+1}(u)(i)$  is defined and equal to  $A(u)(i)$ .

Case 9: Let  $u$  be a constant-valued *assignment* statement or *if* predicate. Let  $v$  be  $u$ 's parent. Assume, without loss of generality, that the control dependence  $v \rightarrow_c u$  is labeled *true*. We know from property 10 of §6 that  $j = index(A(v), i, true)$  must be defined and that

$$step(v, j) < step(u, i)$$

Hence, there exists a  $k$  such that  $S^k(v)(1 \dots j)$  is defined and equal to  $A(v)(1 \dots j)$ .

By definition,

$$S^{k+1}(u) = replace(true, c, S^k(v))$$

and the required result follows.

Case 10: Let  $u$  be a constant-valued *while* predicate. If the constant is *false*, the vertex behaves just like vertices in the previous case. If the constant is *true*, and if  $u$  executes at least once, then there must be a  $k$  and  $j$  such that  $S^k(v)(j)$  is defined and the same as  $label(v, u)$ , where  $v$  is  $u$ 's parent. From the definition, it can be seen that  $S^{k+1}(u)$  is an infinite sequence of *true*s, satisfying the requirement.

We have proved the lemma for each possible value of  $typeOf(u)$ , and hence the lemma follows.

**Proof of Lemma 7.3.** The proof is by induction on  $k$ . Assume that the program terminates normally and that  $S^k(u)(i)$  is defined. We show that  $A(u)(i)$  is defined. The equality of  $A(u)(i)$  and  $S^k(u)(i)$  then follows from the previous lemma and the fact that  $S^k(u)$  is monotonic in  $k$ .

Now,  $A(u)(i)$  is defined iff  $u$  executes  $i$  times. Thus, it is enough to show that  $u$  executes  $i$  times, which we do below. (Similarly, the inductive hypothesis may be interpreted as: if  $S^{k-1}(v)(j)$  is defined, then  $A(v)(j)$  is defined and, hence,  $v$  must have executed  $j$  times.)

Case 1: Let  $u$  be the *Start* vertex or some *Initialize* vertex. The proof is trivial in this case.

Case 2: Let  $u$  be a *FinalUse* vertex. Let  $v$  denote  $dataPred(u)$ . By definition,  $S^k(u) = S^{k-1}(v)$ . Thus, if  $S^k(u)(i)$  is defined, then so is  $S^{k-1}(v)(i)$ . From the inductive hypothesis, program point  $v$  must have executed  $i$  times (which also

means that  $i$  must be 1, but that is immaterial). Since  $u$  and  $v$  have the same control-dependence predecessors,  $u$  must also execute  $i$  times (before the program can terminate normally).

Case 3: Let  $u$  be a  $\phi_T$  vertex. Let  $v$  denote  $ifNode(u)$  and  $w$  denote  $dataPred(u)$ . By definition,  $S^k(u) = select(true, S^{k-1}(v), S^{k-1}(w))$ . Hence, if  $S^k(u)(i)$  is defined, then  $S^{k-1}(v)$  must contain at least  $i$  *true* values. The hypothesis implies that  $v$  must have evaluated to *true* at least  $i$  times. Hence  $u$  must execute for an  $i^{th}$  time. The proof is similar for a  $\phi_F$  vertex.

Case 4: Let  $u$  be a  $\phi_{if}$  vertex. Let  $w, x$ , and  $y$  denote  $ifNode(u)$ ,  $trueDef(u)$ , and  $falseDef(u)$ , respectively. Then,  $S^k(u) = merge(S^{k-1}(w), S^{k-1}(x), S^{k-1}(y))$ . If  $S^k(u)(i)$  is defined, then  $S^{k-1}(w)(i)$  must also be defined. The hypothesis implies that  $w$  must have executed  $i$  times. Consequently,  $u$  must also have executed  $i$  times.

Case 5: Let  $u$  be a  $\phi_{Exit}$  vertex. Let  $v$  and  $w$  denote  $whileNode(u)$  and  $dataPred(u)$ , respectively. Then,  $S^k(u) = select(false, S^{k-1}(v), S^{k-1}(w))$ . If  $S^k(u)(i)$  is defined, then  $S^{k-1}(v)$  must contain at least  $i$  occurrences of *false*. From the inductive hypothesis, the corresponding *while* loop must have completed execution at least  $i$  times. Hence  $u$  must have executed at least  $i$  times.

Case 6: Let  $u$  be a  $\phi_{while}$  vertex. The proof is similar to the case of a  $\phi_T$  vertex.

Case 7: Let  $u$  be a  $\phi_{Enter}$  vertex. Let  $v$ ,  $y$ , and  $x$  denote  $whileNode(u)$ ,  $innerDef(u)$ , and  $outerDef(u)$ , respectively. Then,  $S^k(u) = whileMerge(S^{k-1}(v), S^{k-1}(y), S^{k-1}(x))$ . Consider the case  $i = 1$ . If  $S^k(u)(1)$  is defined, then  $S^{k-1}(x)(1)$  must be defined, too. Hence,  $x$  must have executed at least once, from the induction hypothesis. Consequently,  $u$  must have executed at least once, too. Consider the case  $i > 1$ . If  $S^k(u)(i)$  is defined,  $S^{k-1}(v)(1 \dots i - 1)$  must be defined, too. Consequently,  $v$  must have executed  $i - 1$  times, by the induction hypothesis. Suppose it evaluated to *true* in the  $i - 1^{th}$  time, i.e., assume  $S^{k-1}(v)(i - 1)$  were *true*. Then  $u$  must subsequently execute, for an  $i^{th}$  time. On the other hand, let  $S^{k-1}(v)(i - 1)$  be *false*. Let  $j = \#(S^{k-1}(v), i - 1, false)$ . Then,  $S^{k-1}(x)(j + 1)$  must be defined. That is,  $x$  must have executed at least once after  $u$  had executed  $i - 1$  times. Hence,  $u$  must execute for an  $i^{th}$  time, too.

Case 8: Let  $u$  be a  $\phi_{copy}$  vertex. The proof is just as in the previous case.

Case 9: Let  $u$  be an *assignment*, *if* predicate, or *while* predicate, with  $n$  data-dependence predecessors  $u_1 \dots u_n$ , where  $n > 0$ . Then,  $S^k(u) = map(f)(S^{k-1}(u_1), \dots, S^{k-1}(u_n))$ . If  $S^k(u)(i)$  is defined, then  $S^{k-1}(u_j)(i)$  must be defined, for all  $j$ . Thus,  $u_j$  must have executed  $i$  times. Hence,  $u$  must also execute  $i$  times, because  $u$  and all the  $u_j$  have the same control-dependence predecessors.

Case 10: Let  $u$  be a constant-valued assignment statement or *if* predicate. Let  $v$  be  $u$ 's parent. Assume, without loss of generality, that the control dependence  $v \rightarrow_c u$  is labeled *true*. Then  $S^k(u) = replace(true, functionOf(u), S^{k-1}(v))$ . Thus, if  $S^k(u)(i)$  is defined, then  $S^{k-1}(v)$  must contain at least  $i$  occurrences of *true*. Hence,  $v$  must have evaluated to *true* at least  $i$  times. So,  $u$  must execute at least  $i$  times.

Case 11: Let  $u$  be a constant-valued *while* predicate. If the constant is *false*, the vertex behaves like the vertices in the previous case. Otherwise, if  $S^k(u)(i)$  is defined, then its parent  $v$  must have evaluated to  $label(v, u)$  at least once, which would have caused  $u$  to execute. This would have resulted in an infinite loop, contradicting the assumption that the program halts. Hence  $S^k(u)$  must be a null sequence, for any  $k$ , completing the proof.